

# Effect polymorphism in higher-order logic

## Proof pearl

Andreas Lochbihler

Institute of Information Security

**ETH** zürich

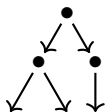
# Monadic effects in HOL



state



failure



non-determinism



probabilities

```
return ::  $\alpha \Rightarrow \alpha$  M  
bind  ::  $\alpha$  M  $\Rightarrow$  ( $\alpha \Rightarrow \beta$  M)  $\Rightarrow$   $\beta$  M
```

1.  $(m \gg f) \gg g = m \gg (\lambda x. f\ x \gg g)$
2.  $\text{return } x \gg f = f\ x$
3.  $m \gg \text{return} = m$

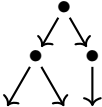
# Monadic effects in HOL



state



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probabilities

```

return ::  $\alpha \Rightarrow \alpha$  M
bind   ::  $\alpha$  M  $\Rightarrow$  ( $\alpha \Rightarrow \beta$  M)  $\Rightarrow$   $\beta$  M

```

$$\beta \text{ M} \Rightarrow (\beta \Rightarrow \gamma \text{ M}) \Rightarrow \gamma \text{ M}$$

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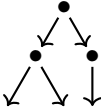
# Monadic effects in HOL



state



failure



non-determinism



probabilities

```
return :: α ⇒ α M
bind  :: α M ⇒ (α ⇒ β M) ⇒ β M
```

$$\beta M \Rightarrow (\beta \Rightarrow \gamma M) \Rightarrow \gamma M$$

value polymorphism

$$\alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$$

$$\alpha M \Rightarrow (\alpha \Rightarrow \gamma M) \Rightarrow \gamma M$$

1.  $(m \gg f) \gg g = m \gg (\lambda x. f x \gg g)$
2.  $\text{return } x \gg f = f x$
3.  $m \gg \text{return} = m$

# Monadic effects in HOL

## No effect polymorphism:

- HOL cannot express the notion of a monad
- HOL functions cannot abstract over the monad  $M$

$\text{return} :: \alpha \Rightarrow \alpha M$

$\text{bind} :: \alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$

$\beta M \Rightarrow (\beta \Rightarrow \gamma M) \Rightarrow \gamma M$

value polymorphism

$\alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$

$\alpha M \Rightarrow (\alpha \Rightarrow \gamma M) \Rightarrow \gamma M$

1.  $(m \gg f) \gg g = m \gg (\lambda x. f x \gg g)$

2.  $\text{return } x \gg f = f x$

3.  $m \gg \text{return} = m$

# Effect polymorphism with value monomorphism in



## Monad $\tau$

return ::  $\forall \alpha. \alpha \Rightarrow \alpha \tau$

bind ::  $\forall \alpha \beta. \alpha \tau \Rightarrow (\alpha \Rightarrow \beta \tau) \Rightarrow \beta \tau$

# Effect polymorphism with value monomorphism in



## Monad $\tau$

return ::  $\forall \alpha. \alpha \Rightarrow \alpha \tau$   
bind ::  $\forall \alpha \beta. \alpha \tau \Rightarrow (\alpha \Rightarrow \beta \tau) \Rightarrow \beta \tau$

effect  
monomorphism

A curved arrow pointing from the 'Monad' section down to the 'value polymorphism' section.

## value polymorphism

return ::  $\alpha \Rightarrow \alpha M$   
bind ::  $\alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$

foo ::  $\dots \Rightarrow \mathbb{Z}$  state  
bar ::  $\dots \Rightarrow$  unit option  
goo ::  $\dots \Rightarrow \alpha$  state

# Effect polymorphism with value monomorphism in



## Monad $\tau$

$\text{return} :: \forall \alpha. \alpha \Rightarrow \alpha \tau$   
 $\text{bind} :: \forall \alpha \beta. \alpha \tau \Rightarrow (\alpha \Rightarrow \beta \tau) \Rightarrow \beta \tau$

effect monomorphism

value monomorphism

## value polymorphism

$\text{return} :: \alpha \Rightarrow \alpha M$   
 $\text{bind} :: \alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$

## effect polymorphism

$\text{return} :: \alpha \Rightarrow \alpha \tau$   
 $\text{bind} :: \alpha \tau \Rightarrow (\alpha \Rightarrow \alpha \tau) \Rightarrow \alpha \tau$

$\text{foo} :: \dots \Rightarrow \mathbb{Z} \text{ state}$   
 $\text{bar} :: \dots \Rightarrow \text{unit option}$   
 $\text{goo} :: \dots \Rightarrow \alpha \text{ state}$



# Effect polymorphism with value monomorphism in



## Monad $\tau$

$\text{return} :: \forall \alpha. \alpha \Rightarrow \alpha \tau$   
 $\text{bind} :: \forall \alpha \beta. \alpha \tau \Rightarrow (\alpha \Rightarrow \beta \tau) \Rightarrow \beta \tau$

effect  
monomorphism

value  
monomorphism

## value polymorphism

$\text{return} :: \alpha \Rightarrow \alpha M$   
 $\text{bind} :: \alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$

## effect polymorphism

$\text{return} :: \alpha \Rightarrow \mu$   
 $\text{bind} :: \mu \Rightarrow (\alpha \Rightarrow \mu) \Rightarrow \mu$

$\text{foo} :: \dots \Rightarrow \mathbb{Z} \text{ state}$   
 $\text{bar} :: \dots \Rightarrow \text{unit option}$   
 $\text{goo} :: \dots \Rightarrow \alpha \text{ state}$

# Effect polymorphism with value monomorphism in



## Monad $\tau$

```
return ::  $\forall \alpha. \alpha \Rightarrow \alpha \tau$   
bind ::  $\forall \alpha \beta. \alpha \tau \Rightarrow (\alpha \Rightarrow \beta \tau) \Rightarrow \beta \tau$ 
```

effect monomorphism

value monomorphism

## value polymorphism

```
return ::  $\alpha \Rightarrow \alpha M$   
bind ::  $\alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$ 
```

```
foo :: ...  $\Rightarrow \mathbb{Z}$  state  
bar :: ...  $\Rightarrow$  unit option  
goo :: ...  $\Rightarrow \alpha$  state
```

## effect polymorphism

```
return ::  $\alpha \Rightarrow \mu$   
bind ::  $\mu \Rightarrow (\alpha \Rightarrow \mu) \Rightarrow \mu$ 
```

```
locale monad =  
  fixes return ::  $\alpha \Rightarrow \mu$   
  and bind ::  $\mu \Rightarrow (\alpha \Rightarrow \mu) \Rightarrow \mu$   
  assumes ...
```

# Effect polymorphism with value monomorphism in



- ▶ Abstract monads & effects
- ▶ Implementing monads and monad transformers
- ▶ Switching between monads

## Monad $\tau$

```
return ::  $\forall \alpha. \alpha \Rightarrow \alpha \tau$ 
bind   ::  $\forall \alpha \beta. \alpha \tau \Rightarrow (\alpha \Rightarrow \beta \tau) \Rightarrow \beta \tau$ 
```

effect monomorphism

value monomorphism

enables

## value polymorphism

```
return ::  $\alpha \Rightarrow \alpha M$ 
bind   ::  $\alpha M \Rightarrow (\alpha \Rightarrow \beta M) \Rightarrow \beta M$ 
```

```
foo :: ...  $\Rightarrow \mathbb{Z}$  state
bar :: ...  $\Rightarrow$  unit option
goo :: ...  $\Rightarrow \alpha$  state
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## effect polymorphism

```
return ::  $\alpha \Rightarrow \mu$ 
bind   ::  $\mu \Rightarrow (\alpha \Rightarrow \mu) \Rightarrow \mu$ 
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```
locale monad =
  fixes return ::  $\alpha \Rightarrow \mu$ 
  and bind   ::  $\mu \Rightarrow (\alpha \Rightarrow \mu) \Rightarrow \mu$ 
  assumes ...
```

## Example I: Monadic interpreter

$\text{exp} ::= \text{Const } \mathbb{Z} \mid \text{Var } \mathcal{V} \mid \text{exp} \oplus \text{exp} \mid \text{exp} \oslash \text{exp}$

$[[\_]]_E :: \text{exp} \Rightarrow (\mathcal{V} \Rightarrow \mu) \Rightarrow \mu$

$[[\text{Const } i]]_E = \text{return } i$

$[[\text{Var } x]]_E = E \ x$

$[[e_1 \oplus e_2]]_E = [[e_1]]_E \gg (\lambda i_1. [[e_2]]_E \gg (\lambda i_2. \text{return } (i_1 + i_2)))$

$[[e_1 \oslash e_2]]_E =$

$[[e_1]]_E \gg (\lambda i_1. [[e_2]]_E \gg (\lambda i_2. \text{if } i_2 \neq 0 \text{ then return } (i_1/i_2) \text{ else fail } ))$

## Example I: Monadic interpreter

$\text{exp} ::= \text{Const } \mathbb{Z} \mid \text{Var } \mathcal{V} \mid \text{exp} \oplus \text{exp} \mid \text{exp} \oslash \text{exp}$

```
locale monad-fail = monad return bind +  
  fixes fail ::  $\mu$   
  assumes fail  $\ggg$  f = fail
```

  
failure

```
 $[[\_]]_E :: \text{exp} \Rightarrow (\mathcal{V} \Rightarrow \mu) \Rightarrow \mu$   
 $[[\text{Const } i]]_E = \text{return } i$   
 $[[\text{Var } x]]_E = E \ x$   
 $[[e_1 \oplus e_2]]_E = [[e_1]]_E \ggg (\lambda i_1. [[e_2]]_E \ggg (\lambda i_2. \text{return } (i_1 + i_2)))$   
 $[[e_1 \oslash e_2]]_E =$   
   $[[e_1]]_E \ggg (\lambda i_1. [[e_2]]_E \ggg (\lambda i_2. \text{if } i_2 \neq 0 \text{ then } \text{return } (i_1/i_2) \text{ else } \text{fail}))$ 
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## Example I: Monadic interpreter

$\text{exp} ::= \text{Const } \mathbb{Z} \mid \text{Var } \mathcal{V} \mid \text{exp} \oplus \text{exp} \mid \text{exp} \oslash \text{exp}$

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locale monad-fail = monad return bind +  
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  assumes fail  $\ggg$  f = fail
```

  
failure

```
 $\llbracket \_ \rrbracket \_ :: \text{exp} \Rightarrow (\mathcal{V} \Rightarrow \mu) \Rightarrow \mu$   
 $\llbracket \text{Const } i \rrbracket_E = \text{return } i$   
 $\llbracket \text{Var } x \rrbracket_E = E \ x$   
 $\llbracket e_1 \oplus e_2 \rrbracket_E = \llbracket e_1 \rrbracket_E \ggg (\lambda i_1. \llbracket e_2 \rrbracket_E \ggg (\lambda i_2. \text{return } (i_1 + i_2)))$   
 $\llbracket e_1 \oslash e_2 \rrbracket_E =$   
   $\llbracket e_1 \rrbracket_E \ggg (\lambda i_1. \llbracket e_2 \rrbracket_E \ggg (\lambda i_2. \text{if } i_2 \neq 0 \text{ then } \text{return } (i_1 / i_2) \text{ else } \text{fail}))$ 
```

Now prove your theorems **abstractly!**

## Example I: Monadic interpreter

$\text{exp} ::= \text{Const } \mathbb{Z} \mid \text{Var } \mathcal{V} \mid \text{exp} \oplus \text{exp} \mid \text{exp} \oslash \text{exp}$

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locale monad-fail = monad return bind +  
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  assumes fail  $\ggg$  f = fail
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 $[[\_]]\_ :: \text{exp} \Rightarrow (\mathcal{V} \Rightarrow \mu) \Rightarrow \mu$   
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 $[[e_1 \oslash e_2]]_E =$   
   $[[e_1]]_E \ggg (\lambda i_1. [[e_2]]_E \ggg (\lambda i_2. \text{if } i_2 \neq 0 \text{ then } \text{return } (i_1/i_2) \text{ else } \text{fail} ))$ 
```

Now prove your theorems **abstractly!** E.g.: compositionality

## Example II: Memoisation



### Conventional interface

```
return ::  $\alpha \Rightarrow \alpha$  M  
bind  :: ...  
get   ::  $\sigma$  M  
put   ::  $\sigma \Rightarrow \text{unit}$  M
```

continuation  
passing  $\rightarrow$

### Monomorphic interface

```
return ::  $\alpha \Rightarrow \alpha$  M  
bind  :: ...  
get   ::  $(\sigma \Rightarrow \alpha$  M)  $\Rightarrow \alpha$  M  
put   ::  $\sigma \Rightarrow \alpha$  M  $\Rightarrow \alpha$  M
```



## Example II: Memoisation



### Conventional interface

```
return ::  $\alpha \Rightarrow \alpha$  M  
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continuation  
|----->  
passing

### Monomorphic interface

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return ::  $\alpha \Rightarrow \mu$   
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## Example II: Memoisation



### Conventional interface

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return ::  $\alpha \Rightarrow \alpha$  M  
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continuation  
└───────────────────┘  
passing

### Monomorphic interface

```
return ::  $\alpha \Rightarrow \mu$   
bind  :: ...  
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put   ::  $\sigma \Rightarrow \mu \Rightarrow \mu$ 
```

### Algebraic specification

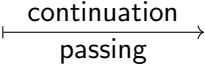
```
put s (get f)      = put s (f s)  
put s' (put s m)  = put s m  
get ( $\lambda s. \text{get } (f s)$ ) = get ( $\lambda s. f s s$ )  
get ( $\lambda s. \text{put } s m$ ) = m  
get ( $\lambda_. m$ )      = m  
  
get f  $\ggg$  g      = get ( $\lambda s. f s \ggg g$ )  
put s m  $\ggg$  f = put s (m  $\ggg$  f)
```

# Example II: Memoisation



## Conventional interface

```
return ::  $\alpha \Rightarrow \alpha$  M
bind  :: ...
get   ::  $\sigma$  M
put   ::  $\sigma \Rightarrow \text{unit}$  M
```



## Monomorphic interface

```
return ::  $\alpha \Rightarrow \mu$ 
bind  :: ...
get   ::  $(\sigma \Rightarrow \mu) \Rightarrow \mu$ 
put   ::  $\sigma \Rightarrow \mu \Rightarrow \mu$ 
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## Algebraic specification

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put s (get f)           = put s (f s)
put s' (put s m)        = put s m
get ( $\lambda s. \text{get } (f s)$ ) = get ( $\lambda s. f s s$ )
get ( $\lambda s. \text{put } s m$ )      = m
get ( $\lambda_. m$ )              = m

get f  $\ggg$  g             = get ( $\lambda s. f s \ggg g$ )
put s m  $\ggg$  f           = put s (m  $\ggg$  f)
```

memo ::  $(\beta \Rightarrow \mu) \Rightarrow \beta \Rightarrow \mu$

## Example II: Memoisation



### Conventional interface

```
return ::  $\alpha \Rightarrow \alpha$  M  
bind  :: ...  
get   ::  $\sigma$  M  
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```

continuation  
passing  $\rightarrow$

### Monomorphic interface

```
return ::  $\alpha \Rightarrow \mu$   
bind  :: ...  
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```

### Algebraic specification

```
put s (get f)      = put s (f s)  
put s' (put s m)  = put s m  
get ( $\lambda s. \text{get } (f s)$ ) = get ( $\lambda s. f s s$ )  
get ( $\lambda s. \text{put } s m$ ) = m  
get ( $\lambda \_. m$ )      = m  
  
get f  $\ggg$  g       = get ( $\lambda s. f s \ggg g$ )  
put s m  $\ggg$  f     = put s (m  $\ggg$  f)
```

memo ::  $(\beta \Rightarrow \mu) \Rightarrow \beta \Rightarrow \mu$

memo f x =

get ( $\lambda T.$

case T x of Some y  $\Rightarrow$  return y

| None  $\Rightarrow$  f x  $\ggg$  ( $\lambda y. \text{get } (\lambda T. \text{put } (T(x \mapsto y)) (\text{return } y)))$ )

## Example II: Memoisation



### Conventional interface

```
return ::  $\alpha \Rightarrow \alpha$  M  
bind  :: ...  
get   ::  $\sigma$  M  
put   ::  $\sigma \Rightarrow \text{unit}$  M
```

continuation  
passing  $\rightarrow$

### Monomorphic interface

```
return ::  $\alpha \Rightarrow \mu$   
bind  :: ...  
get   ::  $(\sigma \Rightarrow \mu) \Rightarrow \mu$   
put   ::  $\sigma \Rightarrow \mu \Rightarrow \mu$ 
```

Verify memo abstractly:

- ⊕ correct
- ⊕ idempotent
- ⊕ parametric

memo ::  $(\beta \Rightarrow \mu) \Rightarrow \beta \Rightarrow \mu$

memo  $f$   $x =$

get  $(\lambda T.$

case  $T$   $x$  of Some  $y \Rightarrow$  return  $y$

| None  $\Rightarrow f$   $x \ggg (\lambda y. \text{get } (\lambda T. \text{put } (T(x \mapsto y)) (\text{return } y))))$

### Algebraic specification

```
put  $s$  (get  $f$ )           = put  $s$  ( $f$   $s$ )  
put  $s'$  (put  $s$   $m$ )       = put  $s$   $m$   
get  $(\lambda s. \text{get } (f$   $s))$  = get  $(\lambda s. f$   $s$   $s)$   
get  $(\lambda s. \text{put } s$   $m)$    =  $m$   
get  $(\lambda_. m)$             =  $m$   
  
get  $f \ggg g$              = get  $(\lambda s. f$   $s \ggg g)$   
put  $s$   $m \ggg f$           = put  $s$  ( $m \ggg f$ )
```

## Combining monads



```
locale monad-fail-prob-state = monad-fail + monad-prob + monad-state  
assumes ...
```

## Combining monads



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Interpreters for probabilistic expressions over random variables:

$$\text{Var } X \oplus \text{Var } X$$

## Combining monads



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locale monad-fail-prob-state = monad-fail + monad-prob + monad-state
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Interpreters for probabilistic expressions over random variables:

$$\text{Var } X \oplus \text{Var } X$$

$$\text{lazy } \mathcal{X} \ e = \llbracket e \rrbracket_{(\text{sample-var } \mathcal{X})}$$



## Combining monads



```
locale monad-fail-prob-state = monad-fail + monad-prob + monad-state
  assumes ...
```

Interpreters for probabilistic expressions over random variables:

$$\text{lazy } \text{coin} \ (\text{Var } X \oplus \text{Var } X) \rightsquigarrow \begin{pmatrix} 0 \mapsto 1/4 \\ 1 \mapsto 1/2 \\ 2 \mapsto 1/4 \end{pmatrix}$$

$$\text{lazy } \mathcal{X} \ e = \llbracket e \rrbracket_{(\text{sample-var } \mathcal{X})}$$

## Combining monads



```
locale monad-fail-prob-state = monad-fail + monad-prob + monad-state
  assumes ...
```

Interpreters for probabilistic expressions over random variables:

$$\text{lazy } \text{coin} (\text{Var } X \oplus \text{Var } X) \rightsquigarrow \begin{matrix} \cancel{\left( \begin{array}{l} 0 \mapsto 1/4 \\ 1 \mapsto 1/2 \\ 2 \mapsto 1/4 \end{array} \right)} & \left( \begin{array}{l} 0 \mapsto 1/2 \\ 2 \mapsto 1/2 \end{array} \right) \end{matrix}$$

$$\text{lazy } \mathcal{X} \ e = \llbracket e \rrbracket_{\text{memo}} (\text{sample-var } \mathcal{X})$$

## Combining monads



```
local monad-fail-prob-state = monad-fail + monad-prob + monad-state
assumes ...
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Interpreters for probabilistic expressions over random variables:

$$\text{lazy } \text{coin} (\text{Var } X \oplus \text{Var } X) \rightsquigarrow \begin{matrix} \cancel{\left( \begin{array}{l} 0 \mapsto 1/4 \\ 1 \mapsto 1/2 \\ 2 \mapsto 1/4 \end{array} \right)} & \left( \begin{array}{l} 0 \mapsto 1/2 \\ 2 \mapsto 1/2 \end{array} \right) \end{matrix}$$

lazy  $\mathcal{X} \ e = \llbracket e \rrbracket_{\text{memo}} (\text{sample-var } \mathcal{X})$

eager  $\mathcal{X} \ e = \text{sample-vars } \mathcal{X} \ (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$

## Combining monads



`local monad-fail-prob-state = monad-fail + monad-prob + monad-state`  
assumes ...

Interpreters for probabilistic expressions over random variables:

$$\text{lazy } \text{coin} (\text{Var } X \oplus \text{Var } X) \rightsquigarrow \begin{matrix} \cancel{\left( \begin{array}{l} 0 \mapsto 1/4 \\ 1 \mapsto 1/2 \\ 2 \mapsto 1/4 \end{array} \right)} & \left( \begin{array}{l} 0 \mapsto 1/2 \\ 2 \mapsto 1/2 \end{array} \right) \end{matrix}$$

`lazy`  $\mathcal{X}$   $e = \llbracket e \rrbracket_{\text{memo}} (\text{sample-var } \mathcal{X})$

`eager`  $\mathcal{X}$   $e = \text{sample-vars } \mathcal{X} (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$

`eager`  $\mathcal{X}$   $e = \text{lazy } \mathcal{X}$   $e$

## Combining monads



`local monad-fail-prob-state = monad-fail + monad-prob + monad-state`  
assumes ...

Interpreters for probabilistic expressions over random variables:

$$\text{lazy } \text{coin} (\text{Var } X \oplus \text{Var } X) \rightsquigarrow \begin{matrix} \cancel{\left( \begin{array}{l} 0 \mapsto 1/4 \\ 1 \mapsto 1/2 \\ 2 \mapsto 1/4 \end{array} \right)} & \left( \begin{array}{l} 0 \mapsto 1/2 \\ 2 \mapsto 1/2 \end{array} \right) \end{matrix}$$

$$\text{lazy } \mathcal{X} \ e = \llbracket e \rrbracket_{\text{memo}} (\text{sample-var } \mathcal{X})$$

$$\text{eager } \mathcal{X} \ e = \text{sample-vars } \mathcal{X} \ (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$$

$$\text{eager } \mathcal{X} \ e = \text{lazy } \mathcal{X} \ e \quad \text{if} \quad \forall s. \text{put } s \ \text{fail} = \text{fail}$$

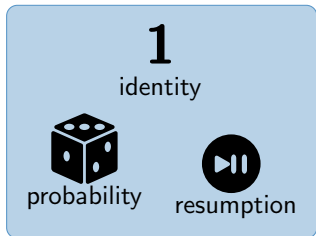
# Concrete monads and monad transformers

## Monads

**1**  
identity

probability

resumption



## Transformers

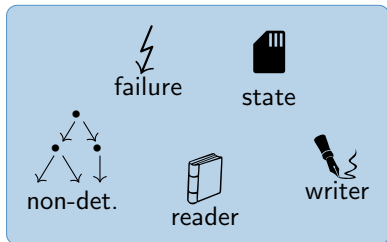
failure

state

non-det.

reader

writer



# Concrete monads and monad transformers

## Monads

**1**  
identity

probability      resumption

## Transformers

failure      state

non-det.      reader      writer

Composing monads:

probability + failure + state

vs.

probability + state + failure

# Concrete monads and monad transformers

## Monads

**1**  
identity

probability      resumption

## Transformers

failure      state

non-det.      reader      writer

Composing monads:

$\forall s. \text{put } s \text{ fail} = \text{fail}$

vs.


$\neg \forall s. \text{put } s \text{ fail} = \text{fail}$




# Concrete monads and monad transformers


## Monads


**1**  
identity


  
probability


  
resumption


## Transformers

  
failure


  
state

  
non-det.


  
reader

  
writer

Composing monads:




vs.



$\forall s. \text{put } s \text{ fail} = \text{fail}$

$\neg \forall s. \text{put } s \text{ fail} = \text{fail}$

lazy   $\mathcal{X} e = \text{eager} \langle \text{Die and SD card} \rangle \mathcal{X} e$

# Example: Switching between monads within larger proofs

lazy  $\mathcal{X} e = \text{eager } \mathcal{X} e = \text{sample-vars } \mathcal{X} (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$

probabilities



failure



state queries



state updates

# Example: Switching between monads within larger proofs

lazy  $\mathcal{X} e = \text{eager } \mathcal{X} e = \text{sample-vars } \mathcal{X} (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$

probabilities



failure



state queries



state updates

failure

state queries

# Example: Switching between monads within larger proofs

lazy  $\mathcal{X} e = \text{eager } \mathcal{X} e = \text{sample-vars } \mathcal{X} (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$

probabilities



failure



state queries



state updates

switch to simpler monad



1

identity



failure



state queries

# Example: Switching between monads within larger proofs

$$\text{lazy } \mathcal{X} \ e = \text{eager } \mathcal{X} \ e = \text{sample-vars } \mathcal{X} \ (\text{vars } e) \llbracket e \rrbracket_{\text{lookup}}$$

probabilities



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1

identity



failure



state queries

$$\llbracket e \rrbracket_{\text{lookup}}^{\text{die, disk}} = \text{embed } \llbracket e \rrbracket_{\text{lookup}}^{\mathbf{1}, \text{lightning bolt, book}}$$

# Example: Switching between monads within larger proofs

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probabilities



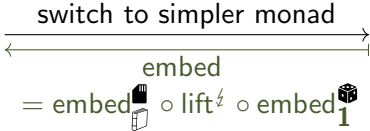
failure



state queries



state updates



1

identity



failure





state queries


$$\llbracket e \rrbracket_{\text{lookup}}^{\text{probabilities, failure, state queries}} = \text{embed} \llbracket e \rrbracket_{\text{lookup}}^{\text{probabilities, failure, state updates}}$$


# Example: Switching between monads within larger proofs

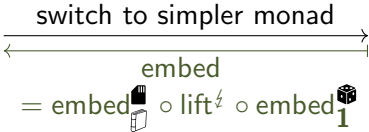
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probabilities 


failure 


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**1** identity

 failure

 state queries

$\llbracket e \rrbracket_{\text{lookup}}^{\text{probabilities, failure, state updates}} = \text{embed} \llbracket e \rrbracket_{\text{lookup}}^{\text{probabilities, failure, state updates}}$

Prove by induction???

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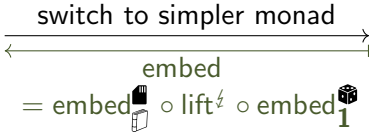
failure



state queries



state updates



1

identity



failure



state queries

**Free theorem!**

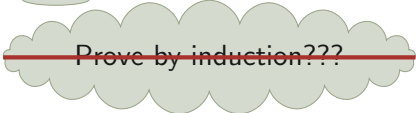


$$\llbracket e \rrbracket_{\text{lookup}}^{\text{die, lightning, disk}} = \text{embed} \llbracket e \rrbracket_{\text{lookup}}^{\text{die, lightning}}$$

$\llbracket - \rrbracket$  is **relationally parametric**

in the monad

See the paper for details!





value monomorphism  $\rightsquigarrow$  effect polymorphism

abstract monads

free theorems

monad transformers

value monomorphism  $\rightsquigarrow$  effect polymorphism

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Ideas developed while formalising  
cryptographic proofs with CryptHOL:

- ▶ switch to simpler monads in proofs
- ▶ lazy vs. eager sampling
- ▶ advanced kinds of memoisation

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**available in the AFP**

[https://www.isa-afp.org/entries/Monomorphic\\_Monad.html](https://www.isa-afp.org/entries/Monomorphic_Monad.html)

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**Next session:**

David Butler using CryptHOL

**Poster session:**

Parametricity inference

**available in the AFP**

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# Appendix

# Concrete monads and monad transformers

## Monads

**1**  
identity

probability

resumption

## Transformers

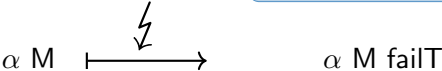
failure

state

non-det.

reader

writer



# Concrete monads and monad transformers

## Monads

**1**  
identity

probability

resumption

## Transformers

failure

state

non-det.

reader

writer

$$\alpha \text{ option } M \xrightarrow{\text{⚡}} \alpha \text{ option } M \text{ failT}$$

# Concrete monads and monad transformers

## Monads

**1**  
identity

probability

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## Transformers

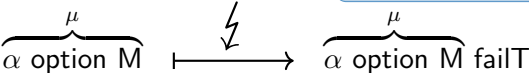
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# Concrete monads and monad transformers

## Monads

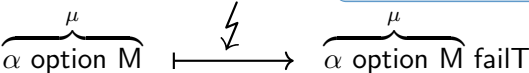
**1**  
identity

probability      resumption

## Transformers

failure      state

non-det.      reader      writer



`datatype  $\mu$  failT = FailT (run-fail:  $\mu$ )`

`returnf :: ( $\alpha$  option  $\Rightarrow$   $\mu$ )  $\Rightarrow$   $\alpha$   $\Rightarrow$   $\mu$  failT`

`returnf return x = FailT (return (Some x))`

`failf :: ( $\alpha$  option  $\Rightarrow$   $\mu$ )  $\Rightarrow$   $\mu$  failT`

`failf return = FailT (return None)`

# Concrete monads and monad transformers

## Monads

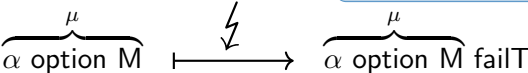
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`failf return = FailT (return None)`

### lift operations of other effects

`getf :: (( $\sigma$   $\Rightarrow$   $\mu$ )  $\Rightarrow$   $\mu$ )  $\Rightarrow$  ( $\sigma$   $\Rightarrow$   $\mu$  failT)  $\Rightarrow$   $\mu$  failT`

`getf get f = FailT (get ( $\lambda s$ . run-fail (f s)))`